

**BLAKELY
SOKOLOFF
TAYLOR &
ZAFMAN**A LIMITED LIABILITY
PARTNERSHIP INCLUDING
LAW CORPORATIONS1279 OAKMEAD PARKWAY
SUNNYVALE, CALIFORNIA 94085-4040(408) 720-8300 (Telephone)
(408) 720-8383 (Facsimile)**RECEIVED
CENTRAL FAX CENTER****FEB 04 2008****FACSIMILE TRANSMITTAL SHEET (TRANSMITTAL TO PTO)**

Deliver to: Office of Initial Examination, Filing Receipt Division, USPTO
Firm Name: U.S. PATENT AND TRADEMARK OFFICE
Fax Number: (571) 273-8300 Telephone No.: (571) 272-4000
From: Stephen M. De Klerk, Reg. No. 46,503
Date: February 1, 2008 Time: 1:30 p.m.
Operator: Linda Brost Atty. Docket No.: 006573.P002
Number of pages including cover sheet: 9
In Re Patent Application of: James Barwick, et al.
Application No.: 10/549,990
Filed: September 15, 2005
For: CONSIGNMENT INVENTORY MANAGEMENT AND RECONCILIATION
SYSTEM

Enclosed are the following documents: _____
Enclosed please find copies of the Filing Receipt, Declaration and POA, and
Notice of Acceptance for the subject matter. Please correct the Filing
Receipt as to priority data; the subject application is not a continuation
application, it is a National Stage (371) of PCT/SG04/00032 filed on
02/03/2004, which claims priority from U.S. Patent Application No.
10/394,837 filed on 03/21/2003. Please issue a corrected Filing Receipt.

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being transmitted to the Patent and Trademark Office by facsimile on:

Date of Transmission: February 1, 2008Linda K. Brost

(Typed or printed name of person transmitting paper)



(Signature of person transmitting paper)

CONFIDENTIALITY NOTE

The documents accompanying this facsimile transmission contain information from the law firm of Blakely Sokoloff Taylor & Zafman LLP that is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution, or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

IF YOU EXPERIENCE ANY DIFFICULTY IN RECEIVING THE ABOVE PAGES, PLEASE CALL (408) 720-8300 AND ASK FOR THE OPERATOR NAMED ABOVE.

(Rev. 11/23/97)

6573-P002 RECEIVED
Sentiment Health CENTRAL FAX CENTER
SMD FEB 04 2008

Page 1 of 3



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/549,990	09/15/2005	3627 ✓	825	6573P002 ✓	10	31	4

Blakely Sokoloff
Taylor & Zafman
7th Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025

RECEIVED

MAY 12 2006

CONFIRMATION NO. 1042

FILING RECEIPT

OC000000018676198

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
LOS ANGELES

Date Mailed: 05/05/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James Barwick, Suwanee, GA;
Joel Haspel, Singapore, SINGAPORE; ✓

Power of Attorney:

Stephen De Klerk--46503

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/SG04/00032 02/03/2004
which is a ~~CON~~ of 10/394,837 03/21/2003
claims priority from

Foreign Applications

Acceptable Request to Retrieve Priority Application Received?

Projected Publication Date: 08/10/2006

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

ENTERED

MAY 12 2006

STATUS DB-LA

Title

Consignment inventory management and reconciliation system ✓

Preliminary Class

705

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER**Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof

unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED
CENTRAL FAX CENTER

FEB 04 2008

Attorney's Docket No.: 006573.P002

Patent

First Named Inventor: James Barwick

Check One:

☐ Declaration Submitted with
Initial Filing OR
☒ Declaration Submitted After
Initial Filing (Surcharge under
37 C.F.R. § 1.16(e) Required)

Complete If Known:

Application No.: 10/549,990
Filing Date: September 15, 2005
Art Unit: Not Yet Assigned
Examiner Name: Not Yet Assigned

DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CONSIGNMENT INVENTORY MANAGEMENT AND RECONCILIATION SYSTEM

(Title of the Invention)

the specification of which

☒ is attached hereto
was filed on (MM/DD/YYYY) 09/15/2005 as
as United States Application Number 10/549,990
or PCT International Application Number _____
and was amended on (MM/DD/YYYY) _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above.

I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed?</u>		<u>Certified Copy Attached?</u>	
<u>(Number)</u>	<u>(Country)</u>	<u>(Foreign Filing Date - MM/DD/YYYY)</u>	<u>Yes</u>	<u>No</u>	<u>Yes</u>	<u>No</u>
<u>PCT/SG2004/000032</u>	<u>PCT</u>	<u>09/15/2005</u>	<u>X</u>			<u>X</u>

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>10/394,837</u>	<u>03/31/2003</u>	<u>Pending</u>
<u>Application Number</u>	<u>(Filing Date - MM/DD/YYYY)</u>	<u>Status - patented, pending, abandoned</u>

Appointment of Patent Practitioners:

I hereby appoint the patent practitioners associated with the Customer Number **08791** as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith.

If this patent application is assigned, then the undersigned hereby authorizes the patent attorneys and patent agents named herein to accept and follow instructions from the assignee(s) as to any action to be taken in the United States Patent and Trademark Office regarding this application without direct communication between the patent attorneys and patent agents and the undersigned. In the event of a change in the persons from whom instructions may be taken, at least one patent attorney or patent agent named herein will be so notified by the undersigned.

Direct all correspondence to (check one):

X Customer Number **08791** OR

Correspondence Address Below:


Stephen M. De Klerk
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
Telephone: (408) 720-8300
Fax: (408) 720-8383

BSTZ ONLY (LONG FORM)
Rev. 07/01/04

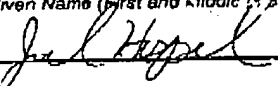
-2-

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Full Name: James Barwick
(Given Name (First and Middle (if any)), Family Name (or Surname), and Suffix (if any))
Inventor's Signature  Date 21st November 2008
Residence Suwanee, Georgia, U.S.A. Citizenship U.S.A.
(City, State, Country) (Country)
Mailing Address 3815 Ridge Point Drive
Suwanee, Georgia, 30024, U.S.A.

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Full Name: Joel Haspel
(Given Name (First and Middle (if any)), Family Name (or Surname), and Suffix (if any))
Inventor's Signature  Date 21st November, 2008
Residence Singapore Citizenship U.S.A.
(City/Country) (Country)
Mailing Address 15 Grange Road, #19-15, Grange Height
Singapore 239696

RECEIVED
CENTRAL FAX CENTER Page 1 of 2

FEB 04 2008



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/549,990	FIRST NAMED APPLICANT James Barwick	ATTY. DOCKET NO. 6573P002
INTERNATIONAL APPLICATION NO. PCT/SG04/00032		
I.A. FILING DATE 02/03/2004		PRIORITY DATE 03/21/2003

Blakely Sokoloff
Taylor & Zafman
7th Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025

CONFIRMATION NO. 1042
371 ACCEPTANCE LETTER
OC000000018676199

Date Mailed: 05/05/2006

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

09/15/2005	09/21/2005
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 09/15/2005
- Copy of the International Search Report filed on 09/15/2005
- Copy of IPE Report filed on 09/15/2005
- Preliminary Amendments filed on 09/15/2005
- Oath or Declaration filed on 09/15/2005
- U.S. Basic National Fees filed on 09/15/2005
- Assignment filed on 09/15/2005
- Specification filed on 09/15/2005
- Claims filed on 09/15/2005
- Abstracts filed on 09/15/2005

- Drawings filed on 09/15/2005

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)